FORM 3

Series B-1 Preferred Stock

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104

(1)

469,713

 $D^{(2)}$ 

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol 1. Name and Address of Reporting Person\* Requiring Statement NextCure, Inc. [ NXTC ] Sofinnova Venture Partners IX, L.P. (Month/Day/Year) 05/08/2019 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) (Last) (Middle) (First) 5. If Amendment, Date of Original Filed (Month/Day/Year) C/O SOFINNOVA INVESTMENTS, INC. Director 10% Owner 3000 SAND HILL ROAD, 4-250 Officer (give title Other (specify 6. Individual or Joint/Group Filing (Check below) below) Applicable Line) (Street) Form filed by One Reporting Person **MENLO** Form filed by More than One CA 94025 Reporting Person **PARK** (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security (Instr. 4) 2. Amount of Securities 3. Ownership 4. Nature of Indirect Beneficial Ownership Beneficially Owned (Instr. 4) Form: Direct (D) (Instr. 5) or Indirect (I) (Instr. 5) Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative Security (Instr. 4) 2. Date Exercisable and 3. Title and Amount of Securities 6. Nature of Indirect **Expiration Date Underlying Derivative Security (Instr. 4)** Conversion Ownership **Beneficial Ownership** Form: Direct (D) (Month/Day/Year) or Exercise (Instr. 5) Price of Amount Derivative or Indirect Security (I) (Instr. 5) Number Date Expiration οf Exercisable Title Shares Date (1) **D**(2) Series A-1 Preferred Stock (1) (1) Common Stock 278,759 Series A-2 Preferred Stock (1) (1) Common Stock 464,599 (1)  $D^{(2)}$ (1) (1) (1) **D**<sup>(2)</sup> 908,785 Series A-3 Preferred Stock Common Stock

Common Stock

Name and Address of Reporting Person*     Sofinnova Venture Partners IX, L.P.			
(Last) C/O SOFINNOVA 3000 SAND HILL	(First) INVESTMENTS, II ROAD, 4-250	(Middle) NC.	
(Street) MENLO PARK	CA	94025	
(City)	(State)	(Zip)	
Name and Address of Reporting Person*     Sofinnova Management IX, L.L.C.  (Last) (First) (Middle)			
•	INVESTMENTS, II	` ′	
3000 SAND HILL ROAD, 4-250			
(Street) MENLO PARK	CA	94025	
(City)	(State)	(Zip)	
1. Name and Address of Reporting Person* <u>HEALY JAMES</u>			

(First)

(Last)

(Middle)

(1)

(1)

C/O SOFINNOVA INVESTMENTS, INC. 3000 SAND HILL ROAD, 4-250			
(Street) MENLO PARK	CA	94025	
(City)	(State)	(Zip)	
1. Name and Address of Reporting Person* POWELL MICHAEL			
(Last)	(First)	(Middle)	
C/O SOFINNOVA INVESTMENTS, INC.			
3000 SAND HILL	ROAD, 4-250		
(Street) MENLO PARK	CA	94025	
(City)	(State)	(Zip)	
Name and Address of Reporting Person*     Mehra Anand			
(Last)	(First)	(Middle)	
C/O SOFINNOVA INVESTMENTS, INC.			
3000 SAND HILL ROAD, 4-250			
(Street) MENLO PARK	CA	94025	
(City)	(State)	(Zip)	

## **Explanation of Responses:**

- 1. The reported securities are convertible into shares of Common Stock on a 1-for-8.0338 basis at the holder's election, will automatically convert into shares of Common Stock upon the closing of the Issuer's initial public offering, and have no expiration date.
- 2. The shares are held directly by Sofinnova Venture Partners IX, L.P. ("SVP IX"). Sofinnova Management IX, L.L.C. ("SM IX") is the general partner of SVP IX and may be deemed to have sole voting, investment and dispositive power with respect to the shares held by SVP IX. Dr. James I. Healy, Michael F. Powell, Ph.D., and Dr. Anand Mehra are the managing members of SM IX and may be deemed to have shared voting, investment and dispositive power with respect to the shares held by SVP IX. Each of the reporting persons disclaims beneficial ownership of such securities, except to the extent of his, her or its proportionate pecuniary interest therein.

/s/ Nathalie Auber, as attorneyin-fact for Sofinnova Venture 05/08/2019 Partners IX, L.P. /s/ Nathalie Auber, as attorneyin-fact for Sofinnova 05/08/2019 Management IX, L.L.C. /s/ Nathalie Auber, as attorney-05/08/2019 in-fact for James I. Healy /s/ Nathalie Auber, as attorneyin-fact for Michael F. Powell /s/ Nathalie Auber, as attorney- 05/08/2019 in-fact for Anand Mehra \*\* Signature of Reporting Person Date

 $Reminder: Report \ on \ a \ separate \ line \ for \ each \ class \ of \ securities \ beneficially \ owned \ directly \ or \ indirectly.$ 

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.